

# Near-Field Polarimetry of Self-Assembled Polymer Systems

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**Peter DeRege**

**Edwin L. Thomas**

**Microscopy and Microanalysis 2002**

**Quebec City, Quebec, Canada**

## **1) Discuss *Near-Field Scanning Optical Microscopy* (NSOM) and NSOM Polarimetry.**

- An scheme for quantitative NSOM polarimetry based upon improved polarization modulation (PM) techniques.**

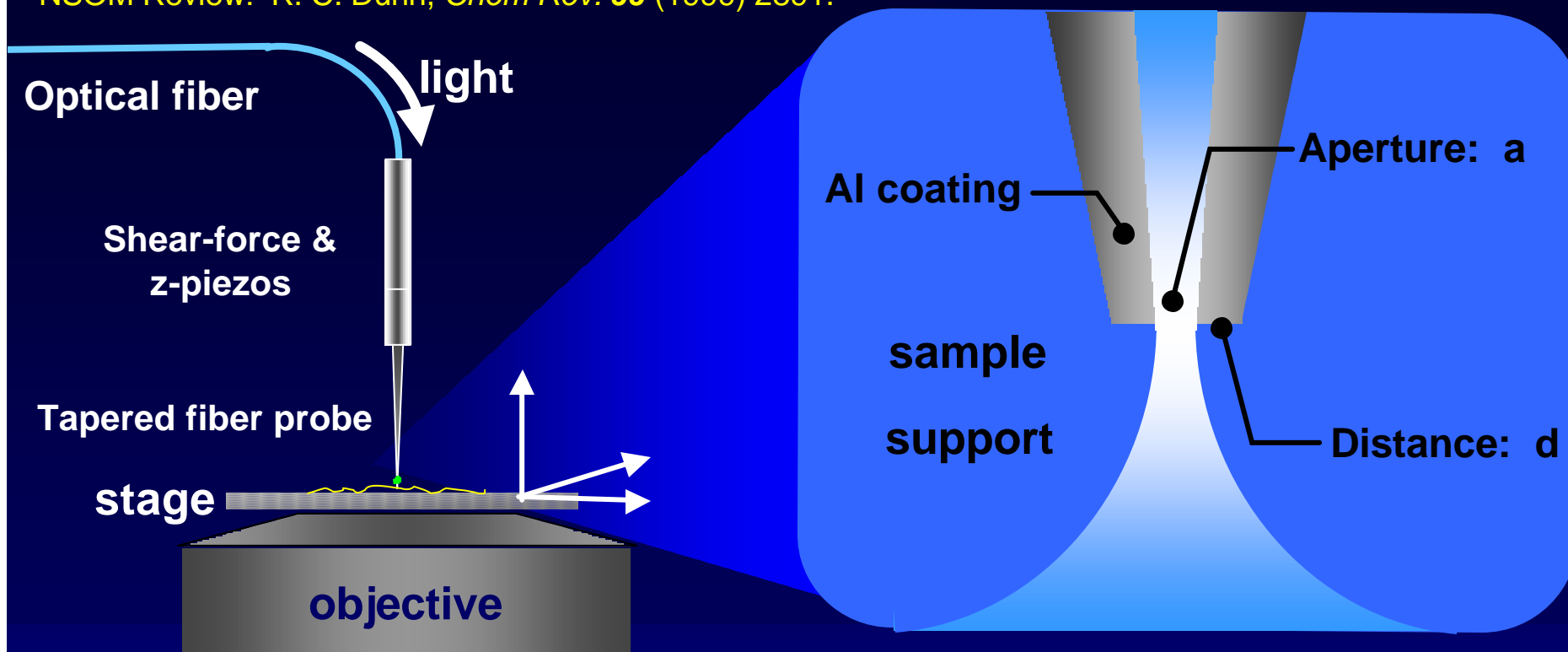
## **2) Demonstrate utility of PM-NSOM through studies of two self- assembling polymer systems:**

- A) Microphase separated Photonic Block Copolymers**
- B) Thin film isotactic polystyrene crystallites**

# Aperture NSOM

NIST

NSOM Review: R. C. Dunn, *Chem Rev.* **99** (1999) 2891.



- Aperture,  $a \ll l$  (40-150 nm) *Maximum resolution:  $a$*
- Distance,  $d \ll l$  (5-10nm)
- $d$  maintained as sample scanned under probe via force feedback.
  - Topography information also collected as in AFM.
- Image generated from transmitted intensity at each point (x,y).

# Motivation

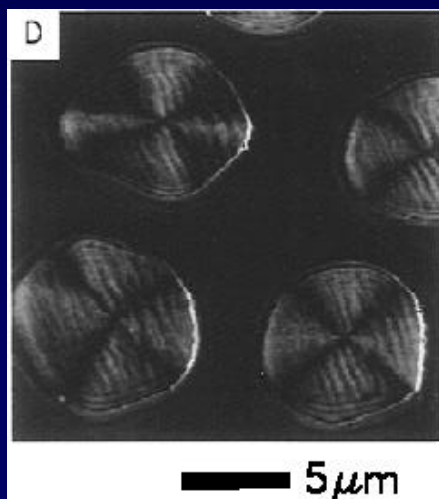
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~100 nm resolution of  
NSOM

Morphology and molecular  
orientation illuminated by  
polarized light

NSOM Polarimetry for  
nano-scale  
characterization

## Qualitative NSOM Polarimetry



e.g.:  
Observations of  
birefringence in  
kevlar fibers via  
NSOM.

“Crossed polarizer”  
experiment

H. Ade, R. ToledoCrow, M. Vaeziaravani, and  
R. J. Spontak, Langmuir 12, 231 (1996)

## Quantitative NSOM Polarimetry (The aim of these studies)

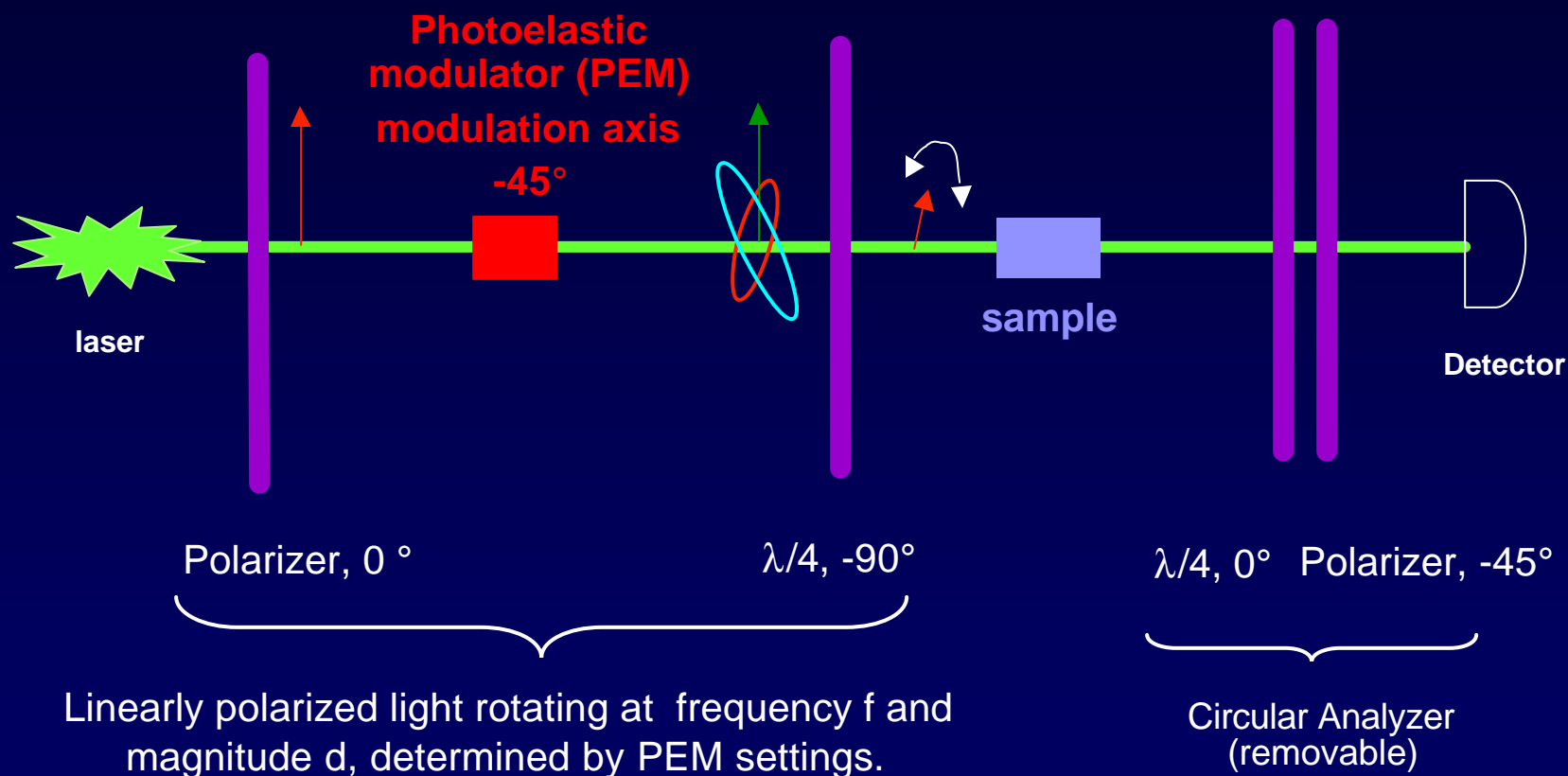
Measurement and *mapping* of  
polarimetric optical properties with  
NSOM resolution.

- Dichroic ratio:  $D=(x-y)/(x+y)$
- Angle of Dichroic Axis:  $\phi_D$
- Phase retardance:  $\theta$  (birefringence)
- Angle of fast axis:  $\phi_B$  (birefringence)

# Polarization Modulation Polarimetry

NIST

e.g.: S. J. Johnson et al., Colloid Interface Sci. **104**, 440 (1985)

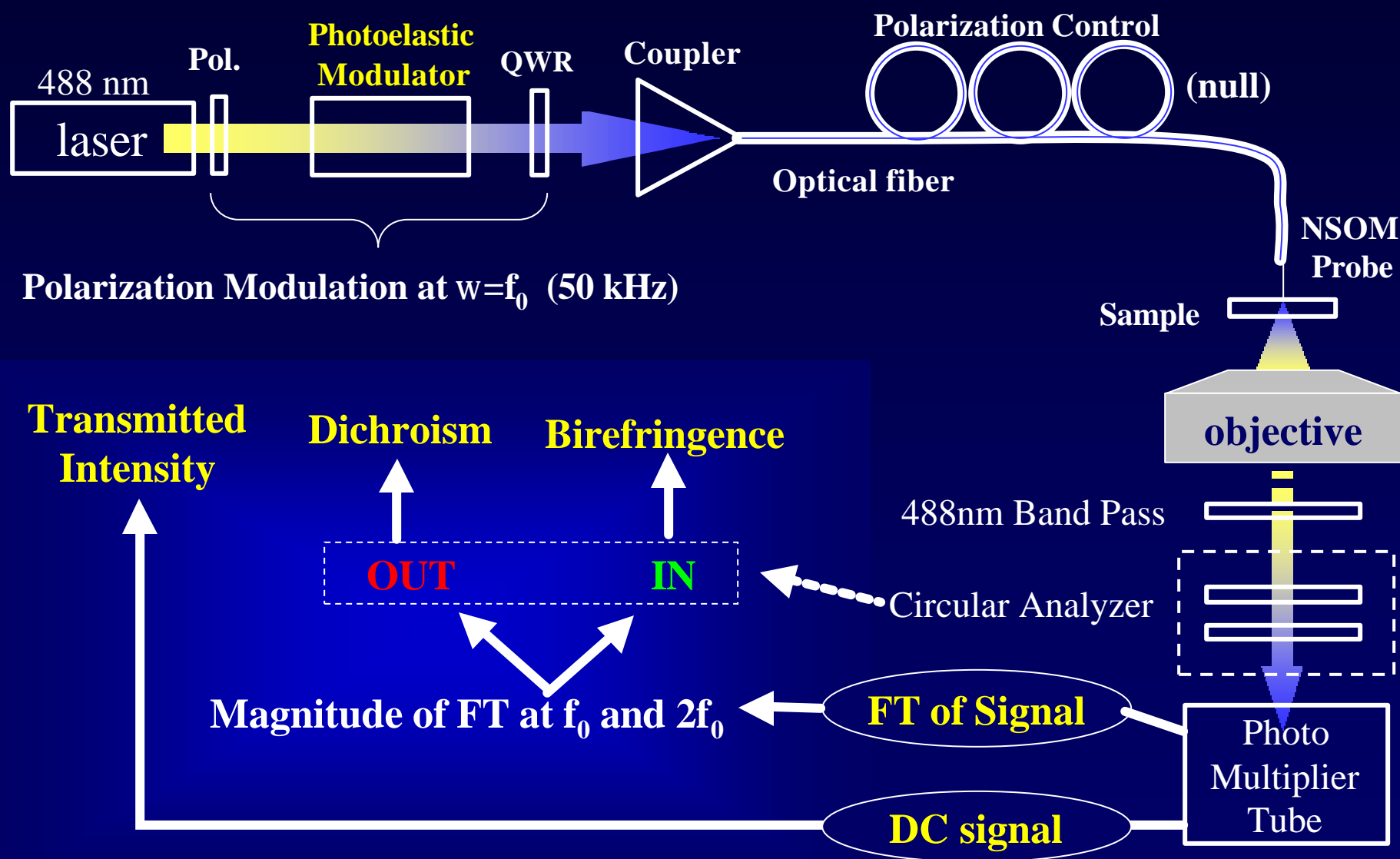


**Analysis of transmitted AC intensity signal yields polarimetric quantities associated with sample dichroism and birefringence.**

# PM-NSOM Polarimeter

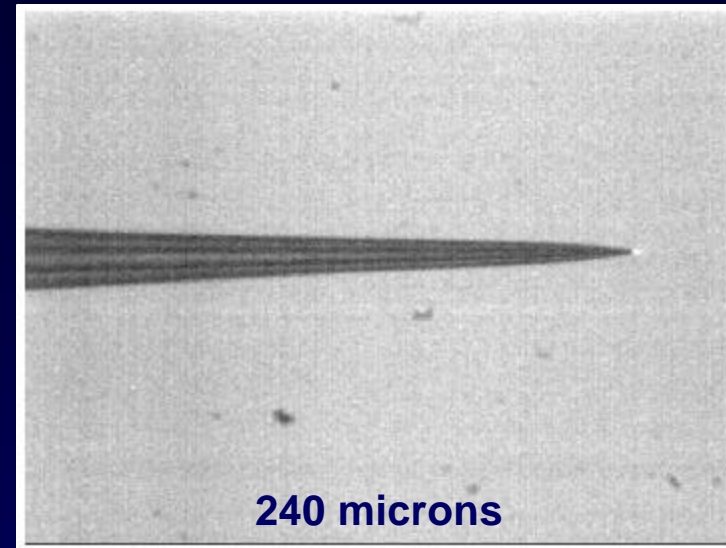
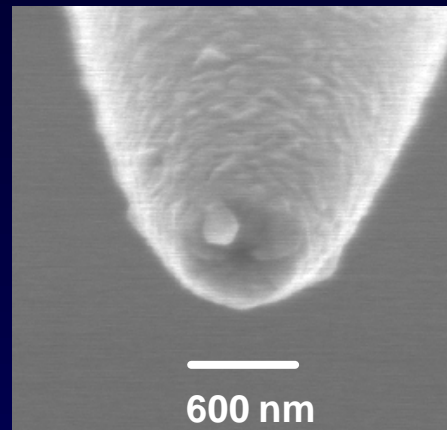
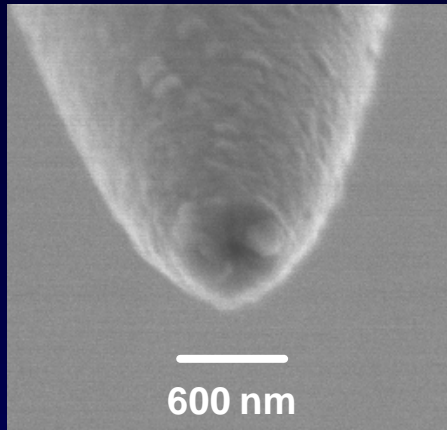
NIST

(After McDaniel et al, *Applied Optics* 37(1) p.84 1998)



# NSOM aperture probes

NIST



- Fabricated at NIST (Pat Connelly)
- Pulled single mode optical fiber
  - 130 nm Al coating
- Aperture used in this study have width 100-120 nm (by SEM).

**These aperture probe tips are themselves dichroic!**

**Optical properties of the probe obscure those of the sample!**

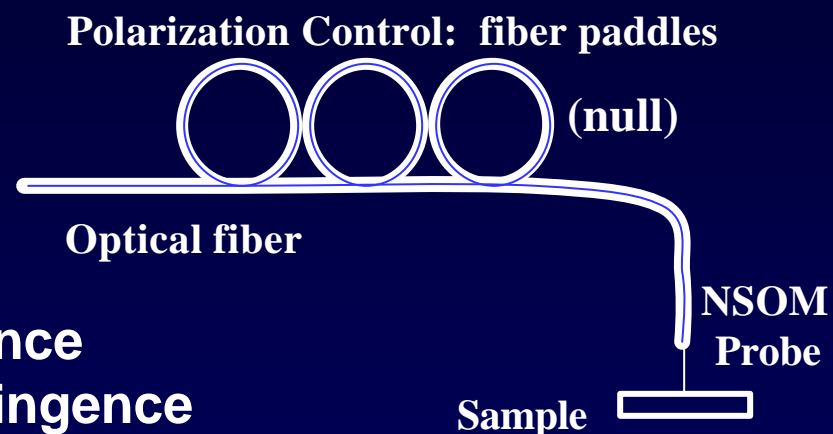
# Barriers to Quantitative PM-NSOM

NIST

Experimental Technique/Analysis must account for these effects:

## 1. Tip dichroism on fiber birefringence null

While stress-induced birefringence in a bare fiber can be “nulled” via fiber paddles, we find that tip dichroism results in a *residual birefringence* that is not removed.



## 2. Tip dichroism on sample dichroism

## 3. Tip dichroism on sample birefringence

## 4. Sample dichroism on sample birefringence

Incorporating all of these effects (exactly) using Jones/Mueller Matrices results in large, intractable analysis equations.

### Our approach:

1. “Thin film” approximation: tip and sample quantities are small ( $D < 0.1$ ,  $q < 0.1$  rad)  $\rightarrow$  uncertainty  $\sim 1\%$
2. *Explicit* measurement of tip properties first!  
These are used to calculate sample properties.



# Improved PM Analysis Formalism

*Lori Goldner (Physics, NIST)*



Fourier Analysis of Detected Intensity  $f(t)$ :

$$f(t) = A_0 + A_1 \sin(\omega t) + A_2 \cos(2\omega t) + \dots$$

Measurement of Dichroism and  $\phi_D$  (analyzer out):

$$R_{1\omega} \equiv \frac{A_1}{A_0} \cong 2J_1(d) \left[ \overset{\text{tip}}{D_t \sin(2j_d^t)} + \overset{\text{sample}}{D_s \sin(2j_d^s)} \right]$$

$$R_{2\omega} \equiv \frac{A_2}{A_0} \cong 2J_2(d) \left[ D_t \cos(2j_d^t) + D_s \cos(2j_d^s) \right]$$

$J_n$  are  $n^{\text{th}}$  order Bessel functions.

Measurement of retardance  $q$  and fast axis angle  $\phi_b$  (analyzer in):

$$B_{1\omega} \equiv \frac{A_1}{A_0} \cong R_{1\omega} + 2J_1(d) \left[ \sin(q_t) \cos(2j_b^t) + \sin(q_s) \cos(2j_b^s) \right]$$

$$B_{2\omega} \equiv \frac{A_2}{A_0} \cong R_{2\omega} - 2J_2(d) \left[ \sin(q_t) \sin(2j_b^t) + \sin(q_s) \sin(2j_b^s) \right]$$

we measure:

$A_0 = \text{DC intensity}$

$A_1 = \text{Intensity at } 1\omega$

$A_2 = \text{Intensity at } 2\omega$

# Measurement Procedure



We start with the sample out

1. Fiber birefringence is “nulled” through fiber paddles.

- $A_1$  and  $A_2$  monitored and minimized

*Sample contributions are 0!*

2. Tip dichroism is measured via  $R_{1w}$  and  $R_{2w}$

- If tip dichroism  $> 0.1$ , replace tip, go to 1

3. Residual tip birefringence is measured via  $B_{1w}$  and  $B_{2w}$

- Tip dichroism value is used in calculation

## *Sample is inserted*

4. Sample Dichroism is measured (128 X 128 pixel image)

- Tip dichroism value is used in calculation

5. Sample Birefringence is measured (image) (Analyzer in)

- Tip dichroism, birefringence, and sample dichroism are used in calculation.

6. Tip properties are re-measured to check for gross changes during scan. (Potentially, the data must be discarded.)

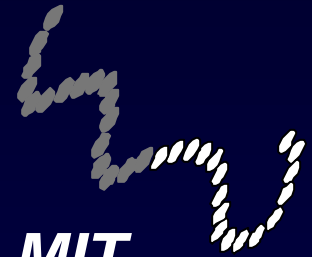
# Application Examples:

**NIST**

## 1. Photonic Block Copolymer Specimens

A. Urbas, P. DeRege & E.L. Thomas

*Dept. Materials Science and Engineering, MIT*



### Objectives:

- Image individual microphase domains and defects with visible light NSOM polarimetry.
- Examine contrast mechanisms

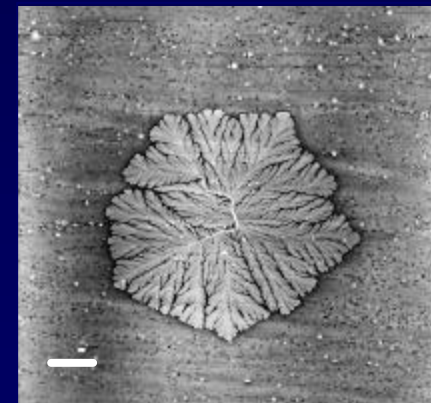
## 2. Thin Film Polymer Crystallites

K. Beers

*Polymers Division, NIST*

### Objectives:

- Probe crystallites with PM-NSOM
- Consider ramifications on structure and formation



# Photonic Block Copolymers

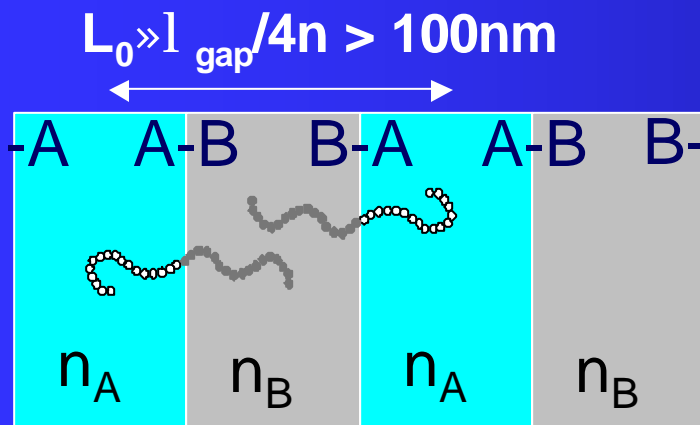
NIST

A. Urbas, P. DeRege, E.L. Thomas

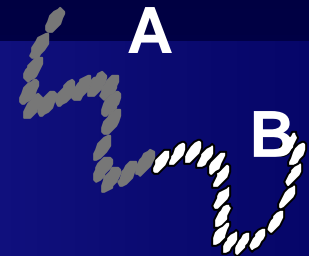
Urbas et al., *Macromolecules* **32** (1999) 4748.  
Fink et al., *J. Lightwave Tech.* **17** (1999) 1963.

Recent synthetic efforts have produced a variety of block copolymers (BCs) with ultra-high molecular weight.

These materials self-assemble on length scales sufficient for photonic activity.



- Tunable band structure:
  - $L_0$  (molecular weight)
  - Motif (Composition)
- Amenable to solvent and film processing
- Mechanically Flexible
- Solid foundation of prior research



Development of photonic BC requires characterization of *local* optical structure at the scale of single defects and domains, as these may dictate device function. (PM-NSOM!)

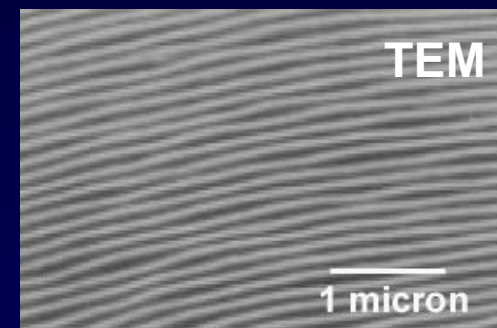


Department of Materials Science and Engineering

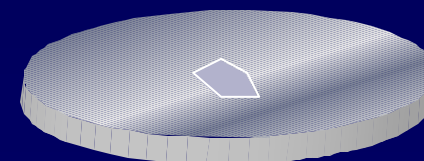
MIT

## Materials Synthesis and Preparation: (MIT Team)

- Symmetric Polystyrene-b-Polyisoprene block copolymer
  - Sequential Anionic Synthesis, Low O<sub>2</sub> Drybox
  - MW= 470k/580k, PDI=1.02
  - Lamellar Motif,  $L_0 \gg 240\text{nm}$



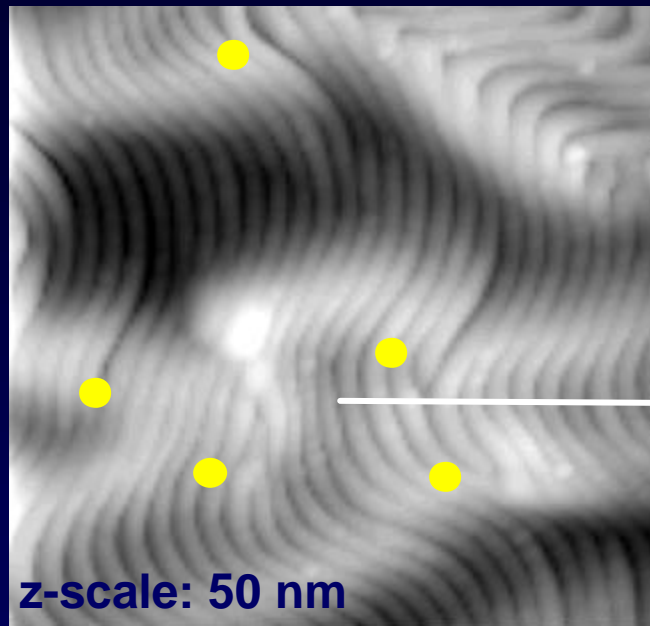
- Cryogenic ultramicrotomy of shear aligned specimens
  - 100nm thick sections deposited onto glass coverslips
- 2 hour staining with OsO<sub>4</sub> vapor (crosslinks PI)
  - Increase optical contrast
  - stiffen PI – better shear feedback



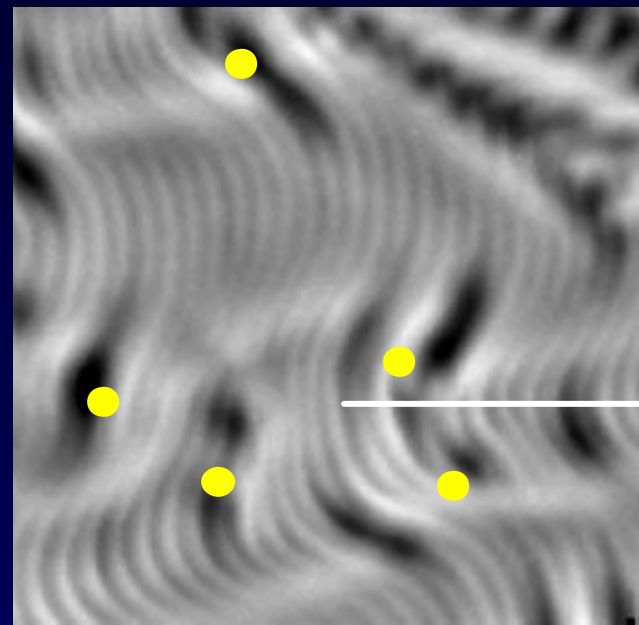
# Transmission NSOM

NIST

Topography



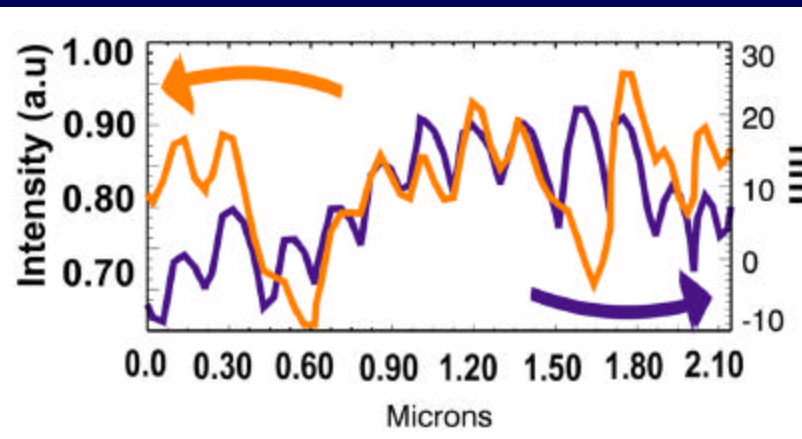
Transmission



- Single domains resolved
- Defect structures show exaggerated contrast

SCATTERING CENTERS?

2.1  $\mu\text{m}$  section  
(white line)



Darker domains are correlated with lower domains:

*Dark phase is stained PI*

# Dichroism Images

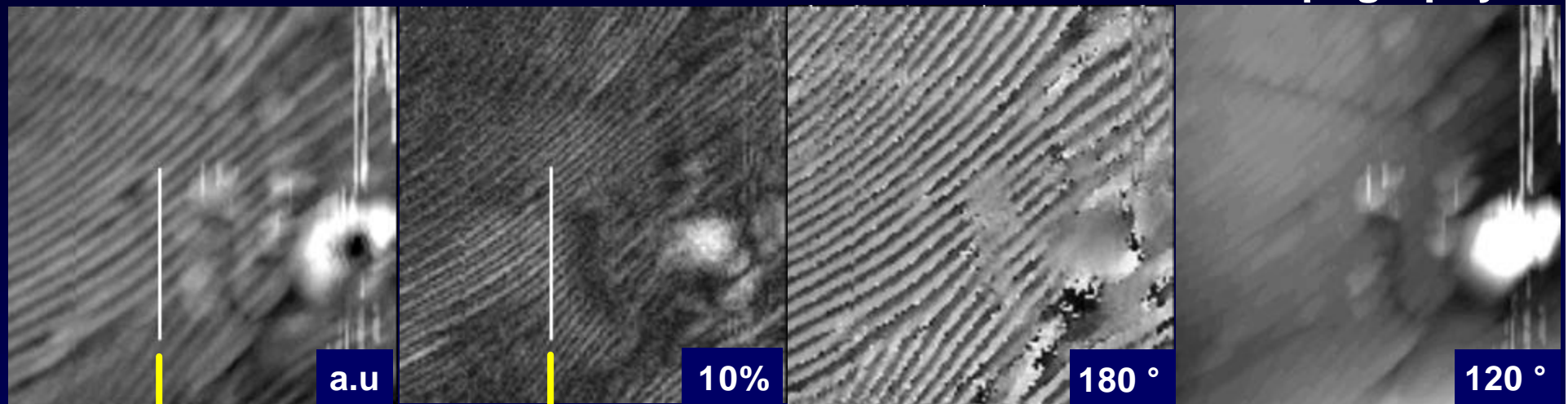
NIST

Transmission

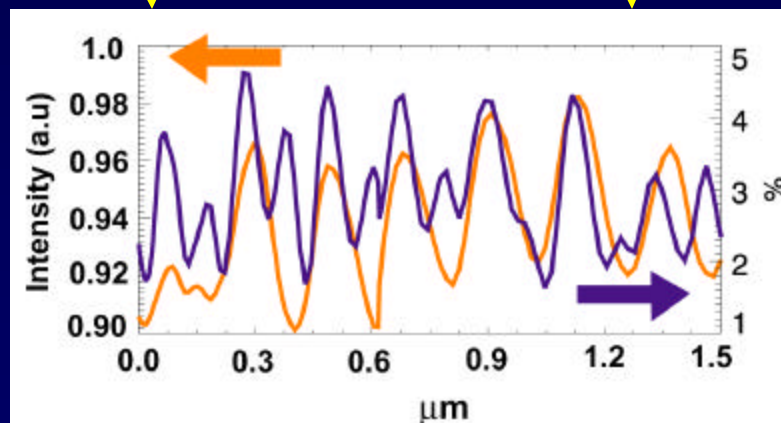
Dichroism

(relative)  
Dichroic Axis  $\Phi$

Topography



All images are 4mm X 4mm



- PS domains are more dichroic
- Dichroism minimum at PS/PI interface

- Axis angle alternates between domains.

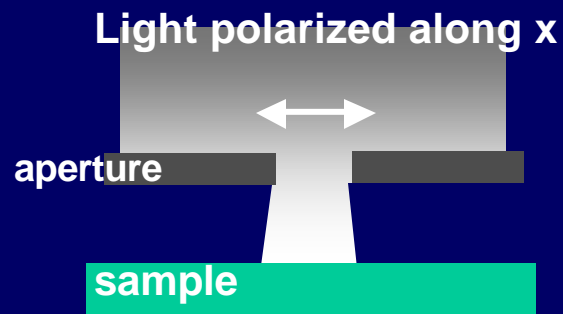
Mechanism for this pattern of dichroism?



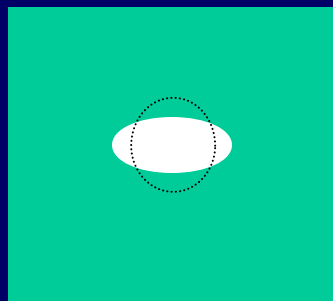
# Hypothesis

## Bethe-Bowkamp model

*Anisotropic Light Footprint*

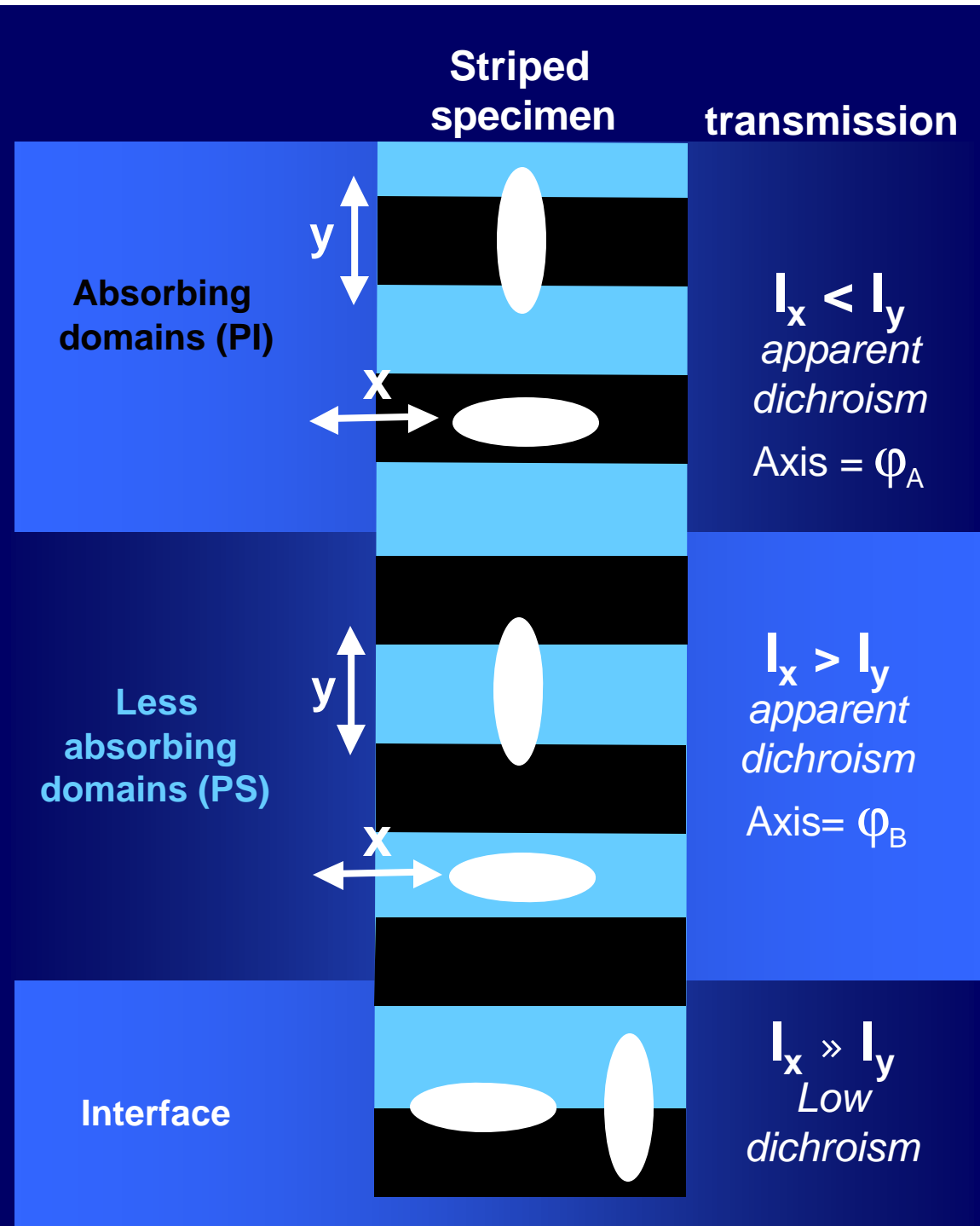


Plan  
view



Footprint elongated  
along x

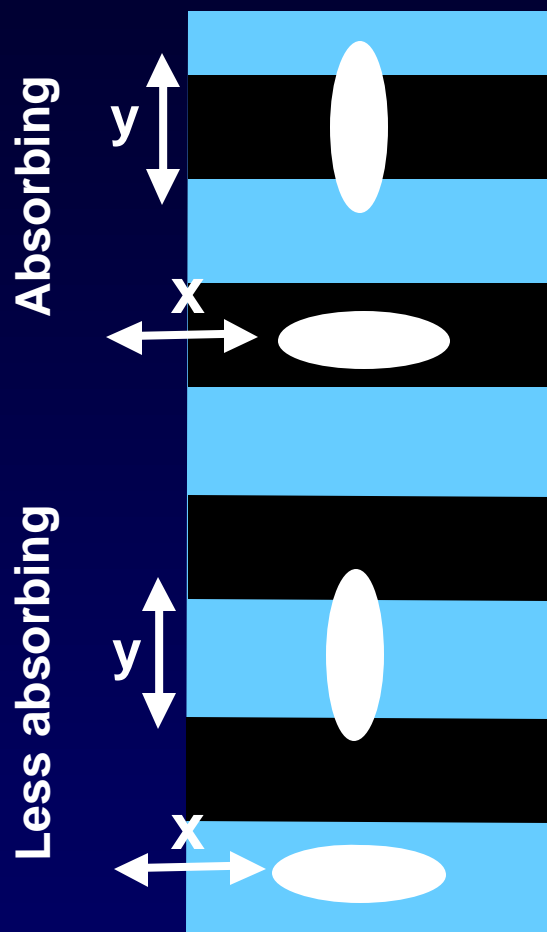
H. A. Bethe, Phys. Rev. 66, 163 (1944); C. J.  
Bowkamp, Philips Res. Rep. 5, 401 (1950)





# Bethe-Bowkamp Hypothesis:

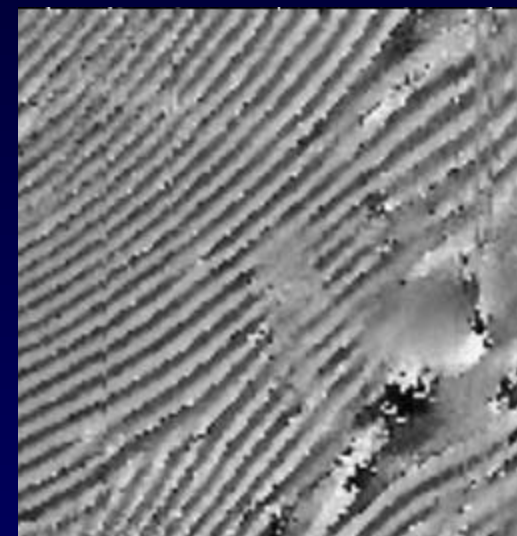
NIST



$$\text{Axis} = \varphi_A$$

$$\text{Axis} = \varphi_B = \varphi_A \pm 90^\circ$$

Observed dichroic axis changes by  $\approx 90^\circ$  between domains.



4mm X 4mm

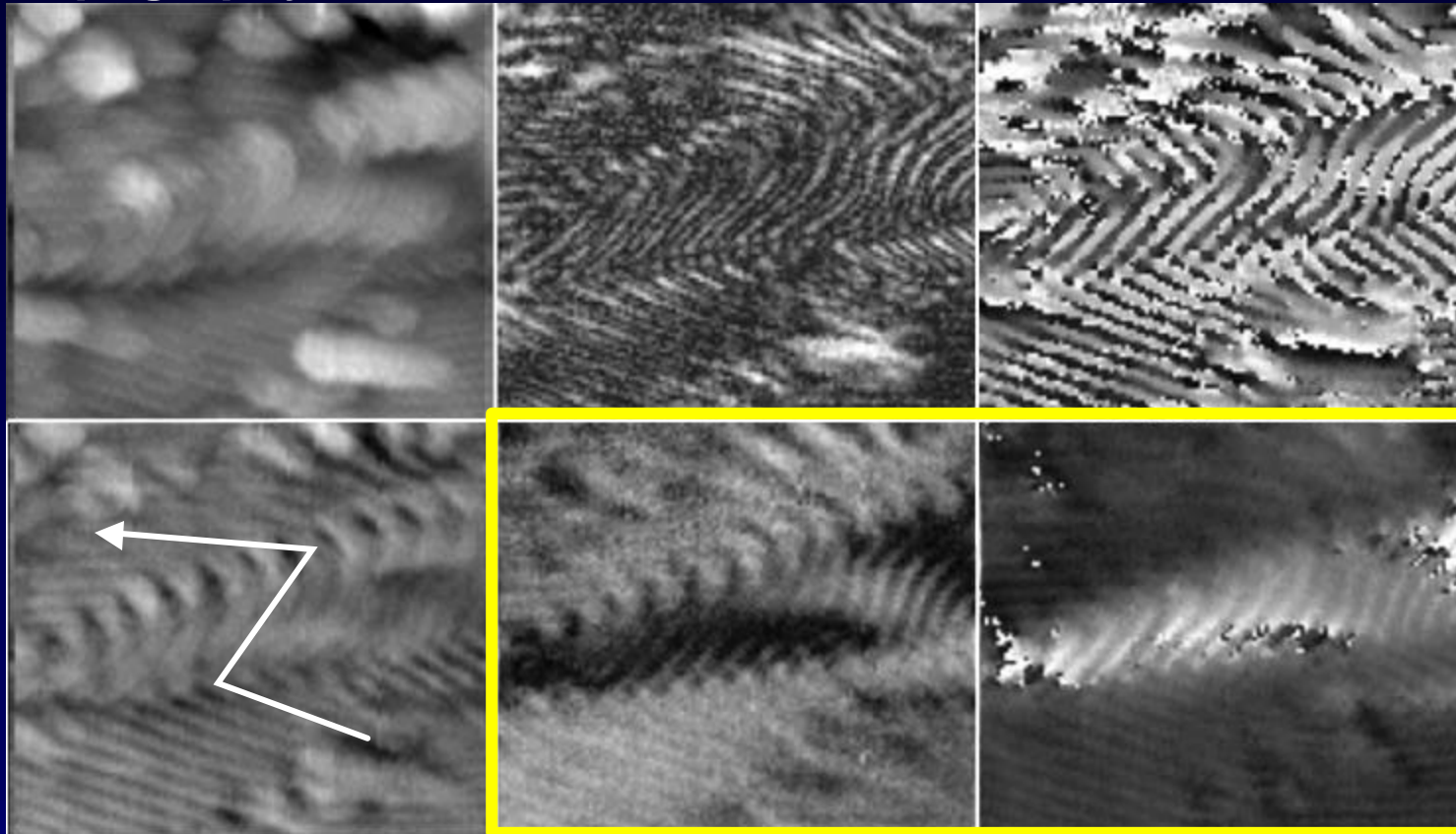
However, if this hypothesis is true, the measured dichroism is in part an NSOM artifact!

Modeling (G. Bryant NIST) is in progress.

# Birefringence Images

NIST

Topography: 43 nm    Dichroism: 4%    (relative) Dichroic Axis  $\varnothing$ : 180°



Symmetric  
twin  
boundary  
defect in  
PS-b-PI  
photonic BC

Images are  
4 mm wide

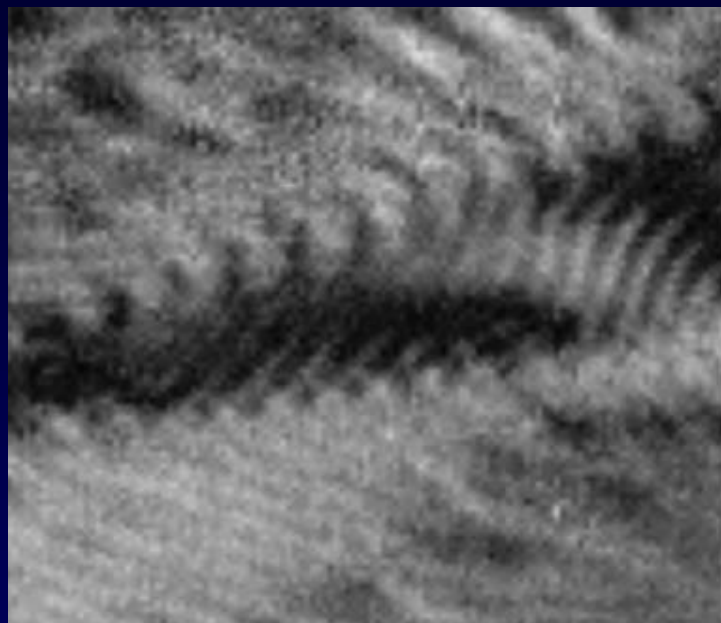
Transmission: a.u.    Retardance: 7°    Fast Axis  $\varnothing$ : 180°  
(relative)

- Dichroism images and tip properties used in birefringence measurements.

# Birefringence Contrast Mechanisms

Retardance  $q$ :  $7^\circ$  range

$$q = Dn f(s) l t$$



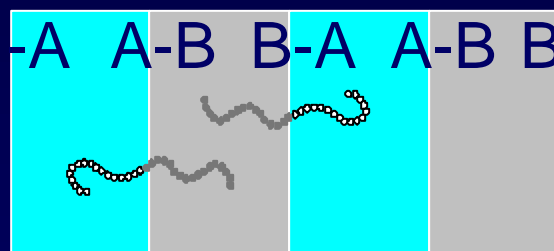
$t$ : thickness  $l$ : 488nm

$Dn$ : “Intrinsic birefringence” =  $n^{\parallel} - n^{\circ}$



Fully extended polymer chain

$f(s)$ : degree of chain elongation, “stress”



In BCs, chains are slightly extended  $\circ$  to the domain interface

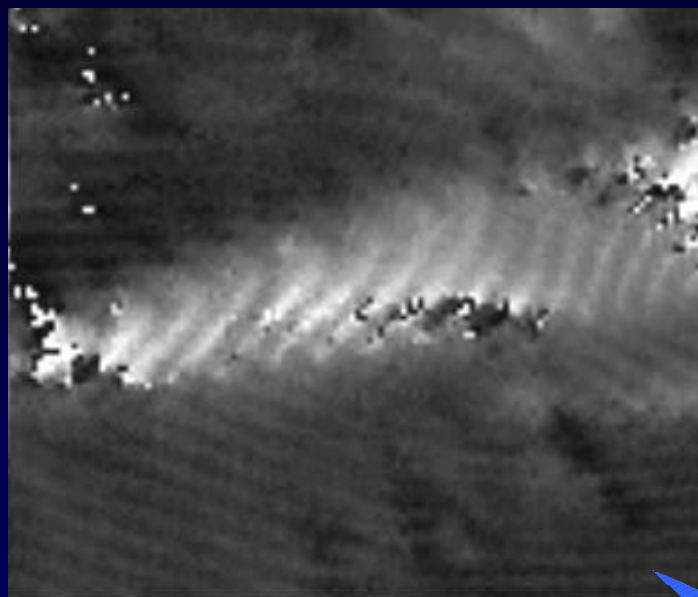
Interdomain contrast (slight) is due to differences in  $Dn$  between PS (0.192) and PI ( $\gg 0.1$ ).

The stronger contrast across the defect is due to  $t$ , and  $f(s)$  which is related to the local stress. Projection effects are also likely.

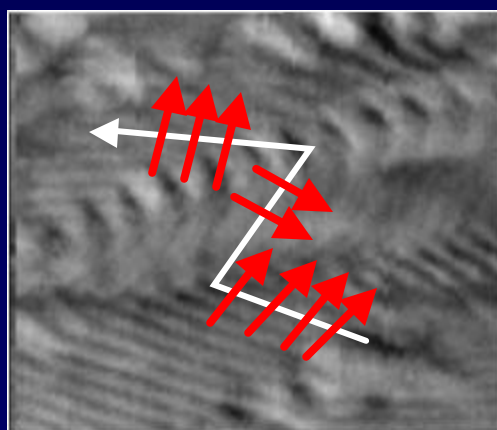
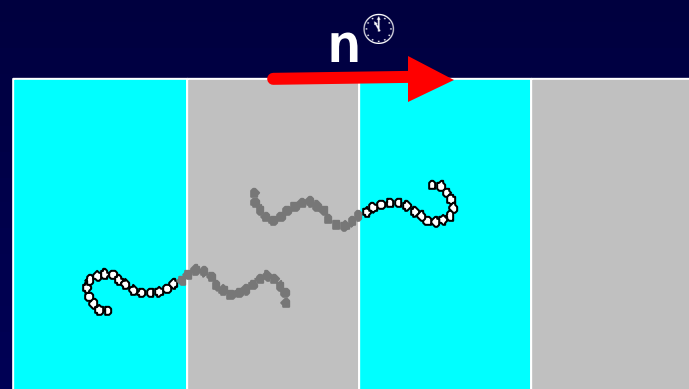
# Birefringence Contrast Mechanisms

NIST

Fast Axis  $\hat{D}$   $f_B$ : 180° range



Due to chain elongation, the fast (low- $n$ ) axis runs  $\odot$  to the domain interface.



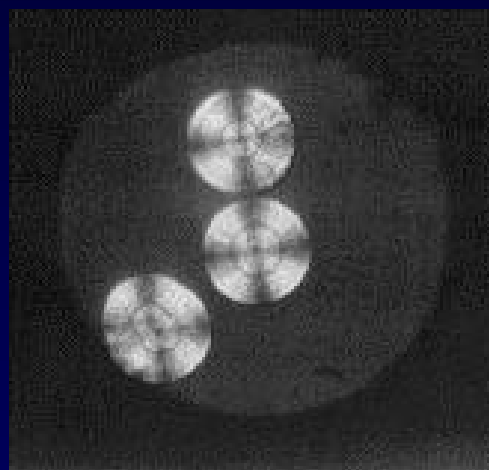
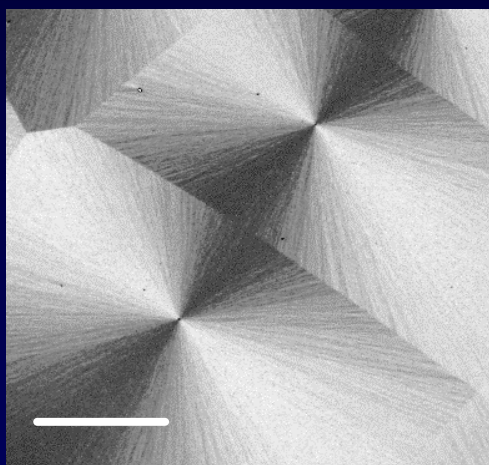
Transmission: a.u.

As the lamella bend into and out of the twin, the fast axis angle increases (brighter) and then decreases.

Images are 4mm wide

# Polymer Crystals

Classical polarimetry was essential to determining the structure of spherulitic polymer crystals in the bulk.



Crossed polarizer  
optical microscopy  
of polymer  
spherulites.

Layers of folded chains (lamella) arranged in a radial fashion. Birefringence results in “cross pattern.”

However, ultra-thin/small crystals are not amenable to study by far-field techniques.

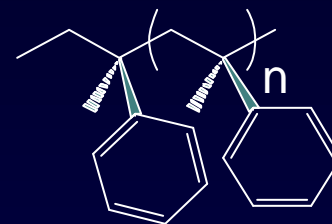
Low signal and/or below the diffraction limit



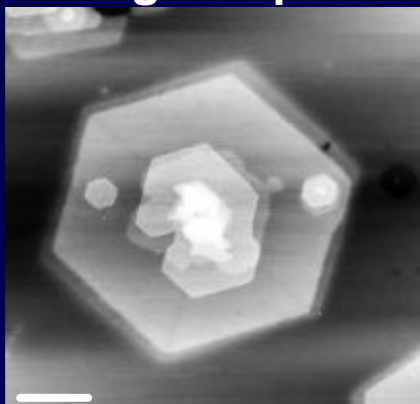
# Thin Film Polymer Crystallites

**K. Beers (Polymers Division, NIST)**

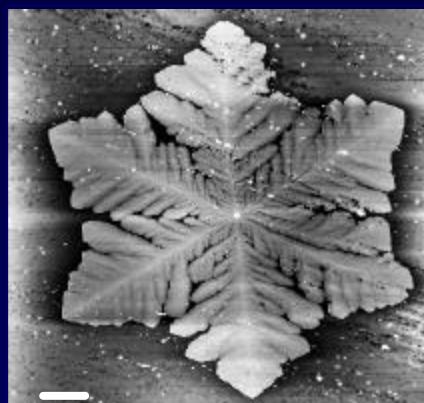
**Isotactic Polystyrene (iPS) exhibits a range of crystalline forms depending upon the film thickness and crystallization temperature**



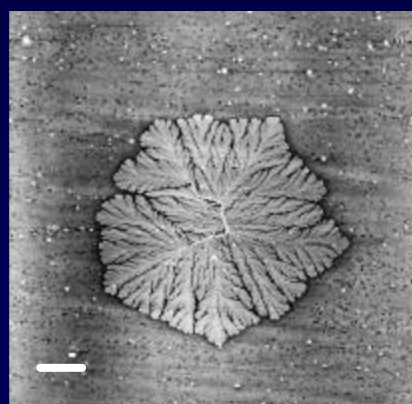
## Hexagonal plates



# snowflakes



## Random dendrites

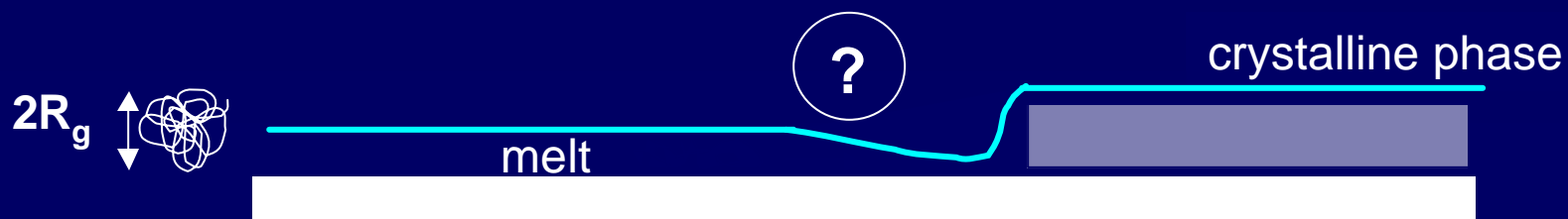


**AFM images**  
**Scale bar is**  
**10mm**

## How are chains folded in non-spherulitic crystals?

## How is crystallization achieved in these confined systems?

**Thickness is on the order of random coil dimensions...**



# PM-NSOM Experiments

We recently examined two systems:

1) Disk-like Crystallites (2D spherulites)

Thickness: 60nm

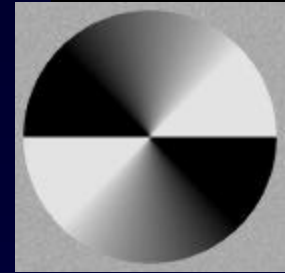
2) Random Dendrites

Thickness: 15nm

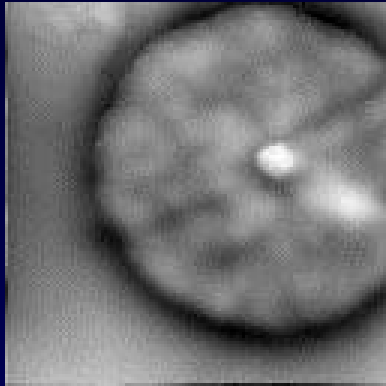
- 
- 100K MW iPS
  - Spun cast from Toluene onto glass coverslips treated with hydrophobic SAM (prevents dewetting).
  - Crystallization T: 160 °C
  - Growth arrested by quenching after 1 hour

# PM-NSOM of iPS crystallites

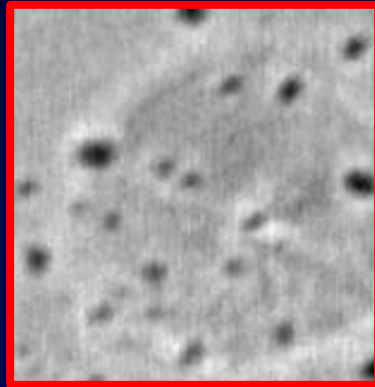
Disk-like crystallite (2D spherulite), 60nm thick



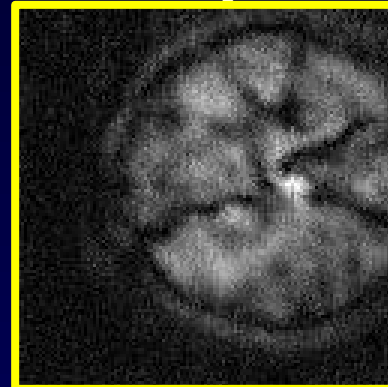
Topography [40nm]



Transmission



Retardance [110 mrad]

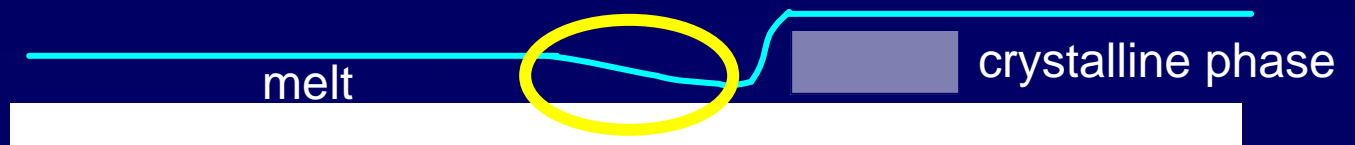


Fast axis [0-p]



- **Transmission** illuminates voids due to solvent evaporation.
- **Fast axis** image shows radial distribution of crystalline lamellae. Many defects in the crystal structure are apparent.
- **Retardance** map shows areas with ordered chains. There is a “ring” of ordered material outside the crystallite! This suggests that the chains elongate before crystallizing. “Pre-crystalline” chains at the periphery are radially arranged.

Images are 6mm wide



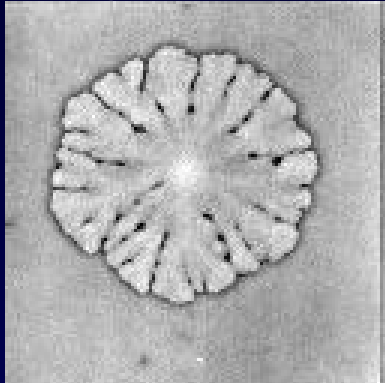


# Dendritic iPS crystallites

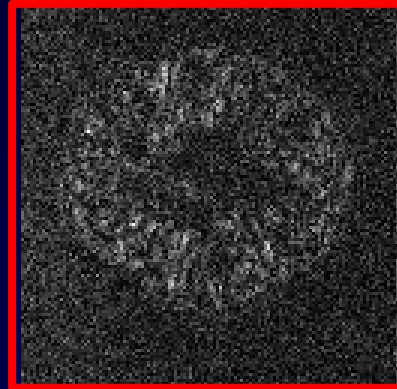
Francoise Renaldo (SupOptique, France)

NIST

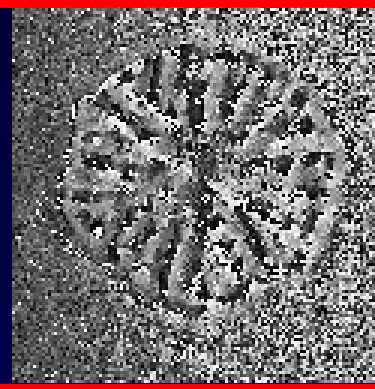
Topography [15nm]



Retardance [20 mrad]



Fast axis [0-p]

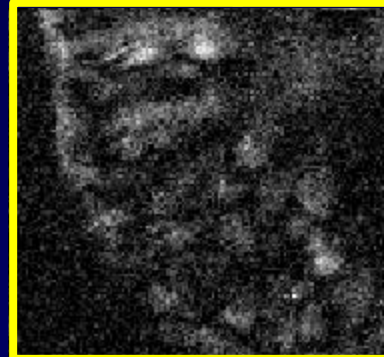


4.8  $\mu\text{m}$  wide  
8ms/point

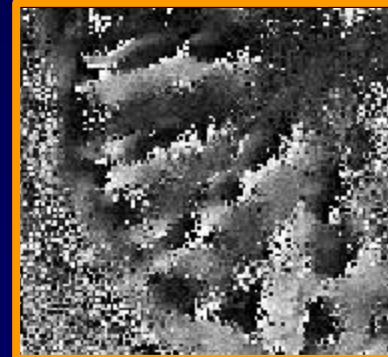
Topography [15nm]



Retardance [20 mrad]



Fast axis [0-p]



2.4  $\mu\text{m}$  wide  
16ms/point

- **Very low signal** (only 15nm thick!). Longer collection time required.
- **Fast Axis** image shows dendrite arms have complex structure with many chain orientations.
- **Retardance** map suggests “pre-ordering” on spherulite periphery.

# Summary

- NSOM polarimetry demonstrated as a tool for characterizing local optical structure of thin polymer specimens
  - Improved PM-NSOM measurements account for:
    - aperture dichroism
    - aperture birefringence
    - sample dichroism.
  - Quantitative measurements of sample dichroism and birefringence
- PM-NSOM techniques were used to image self-assembled polymer systems.
  - 1) Photonic Block Copolymer Films
    - Single microphase domains and defects resolved
    - Contrast mechanisms discussed
  - 2) Thin iPS crystallites
    - PM-NSOM illuminates crystallite structure and provides clues to crystallite formation.

# Acknowledgements and Thanks:



- Lori Goldner, Jeeseong Hwang (PL, NIST)
- Augustine Urbas, Peter DeRege, E.L. Thomas (MIT)
- For helpful conversations:
  - Garnett W. Bryant (PL, NIST)
  - Hoang-Phi Nguyen, Sophie Nougier, Francoise Renaldo (SupOptique, France)
  - Thomas Germer (PL, NIST)
- For NSOM probe fabrication:
  - Patrick Connelly
- For funding:
  - National Research Council Post-doctoral Associateship
  - NIST PL



# Advertisements

**NIST**

Interested in NSOM? NSOM Polarimetry?

*Post Doctoral positions are available in the Physics Lab of the **N**ational **I**nstitute of **S**tandards and **T**echnology!*

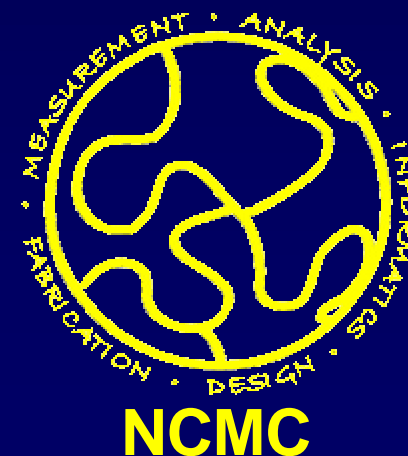
Contact Lori Goldner at: [lori.goldner@nist.gov](mailto:lori.goldner@nist.gov)  
(Or approach me here...)

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Interested in Combinatorial and High-Throughput methods for Materials Research?

The NIST Combinatorial Methods Center specializes in combi techniques for Materials Science.

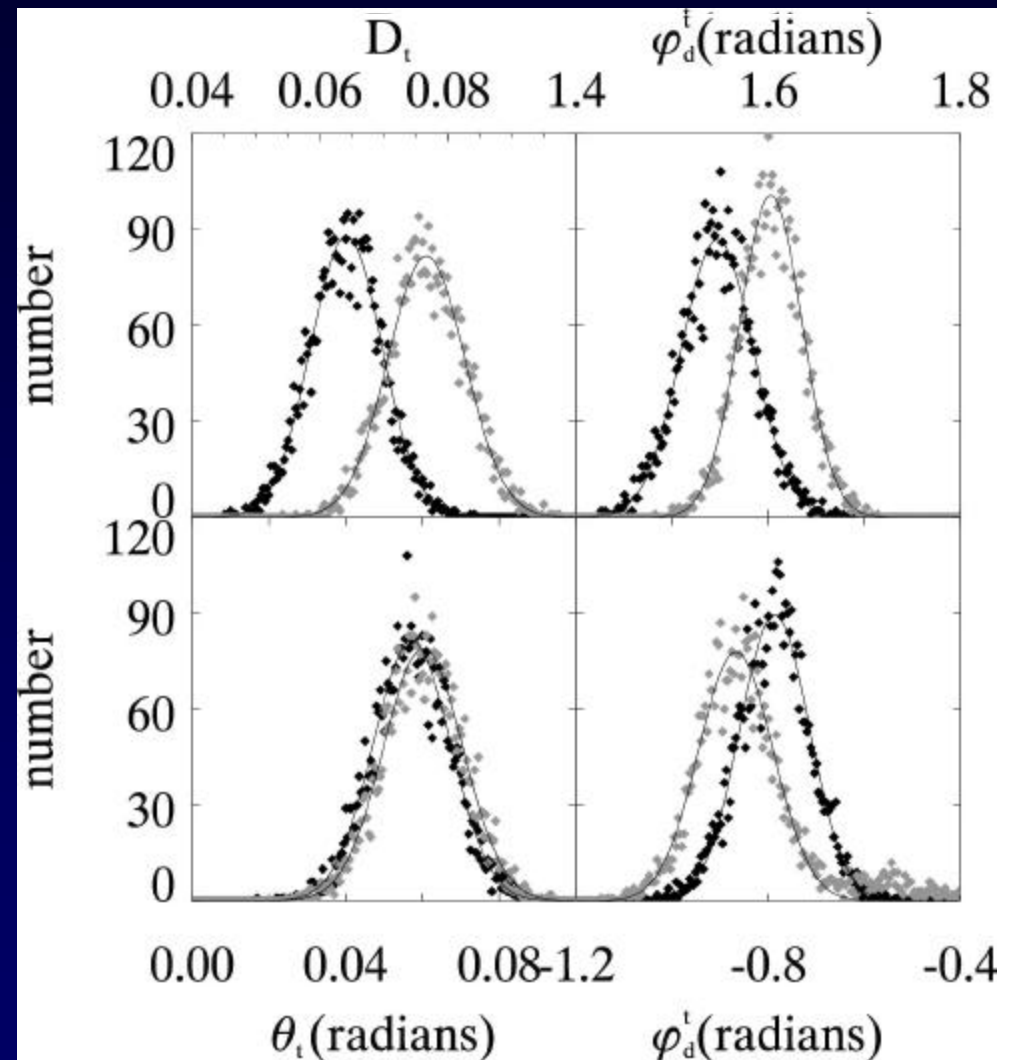
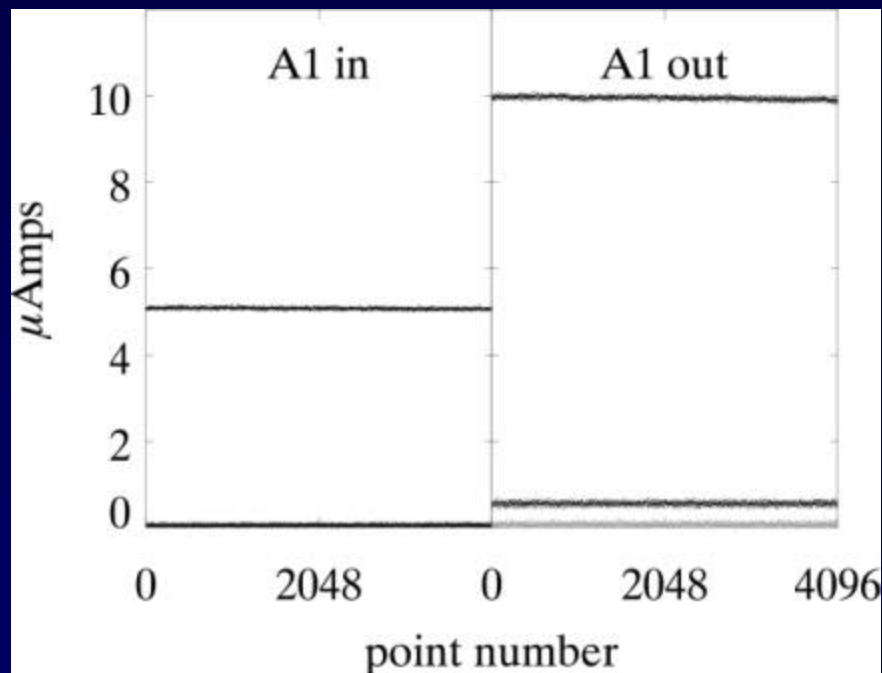
Ask me for literature,  
or visit [www.nist.gov/combi](http://www.nist.gov/combi)



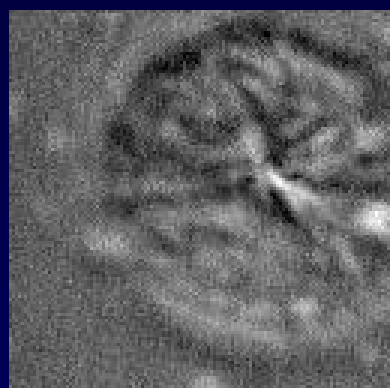
# Nulling the polarimeter

NIST

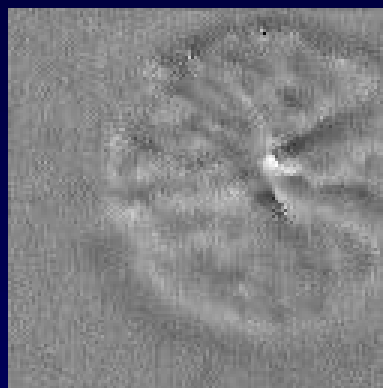
NSOM tips are dichroic!  
To some extent birefringence  
can be nulled using a “fiber  
polarization controller”



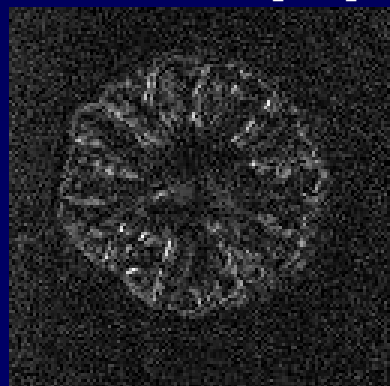
**Dichroism [7%]**



**dichroic axis [0-p]**



**Dichroism [2%]**



**dichroic axis [0-p]**



# Polarimetry Formalism



$$\begin{pmatrix} E'_x \\ E'_y \end{pmatrix} = J \begin{pmatrix} E_x \\ E_y \end{pmatrix}$$

8 independent parameters needed to completely describe the fields and construct the Jones Matrix. Physical properties include:

Linear retardance (2):  $\theta$ ,  $\phi_b$

Linear dichroism (2):  $D=(x-y)/(x+y)$ ,  $\phi_d$

Circular retardance

Circular dichroism

Overall attenuation

Overall retardance

D(x,y), Linear diattenuator (dichroic), transmission coefficients x and y

$$\begin{pmatrix} \sqrt{x} & 0 \\ 0 & \sqrt{y} \end{pmatrix}$$

P, Linear polarizer, pass direction x

$$\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$$

B( $\theta$ ), Linear retarder, fast x axis, phase delay  $\theta$

$$\begin{pmatrix} e^{-iJ} & 0 \\ 0 & 1 \end{pmatrix}$$

PEM

$$\begin{pmatrix} e^{-id\sin(wt)} & 0 \\ 0 & 1 \end{pmatrix}$$

QWR

$$\begin{pmatrix} -i & 0 \\ 0 & 1 \end{pmatrix}$$

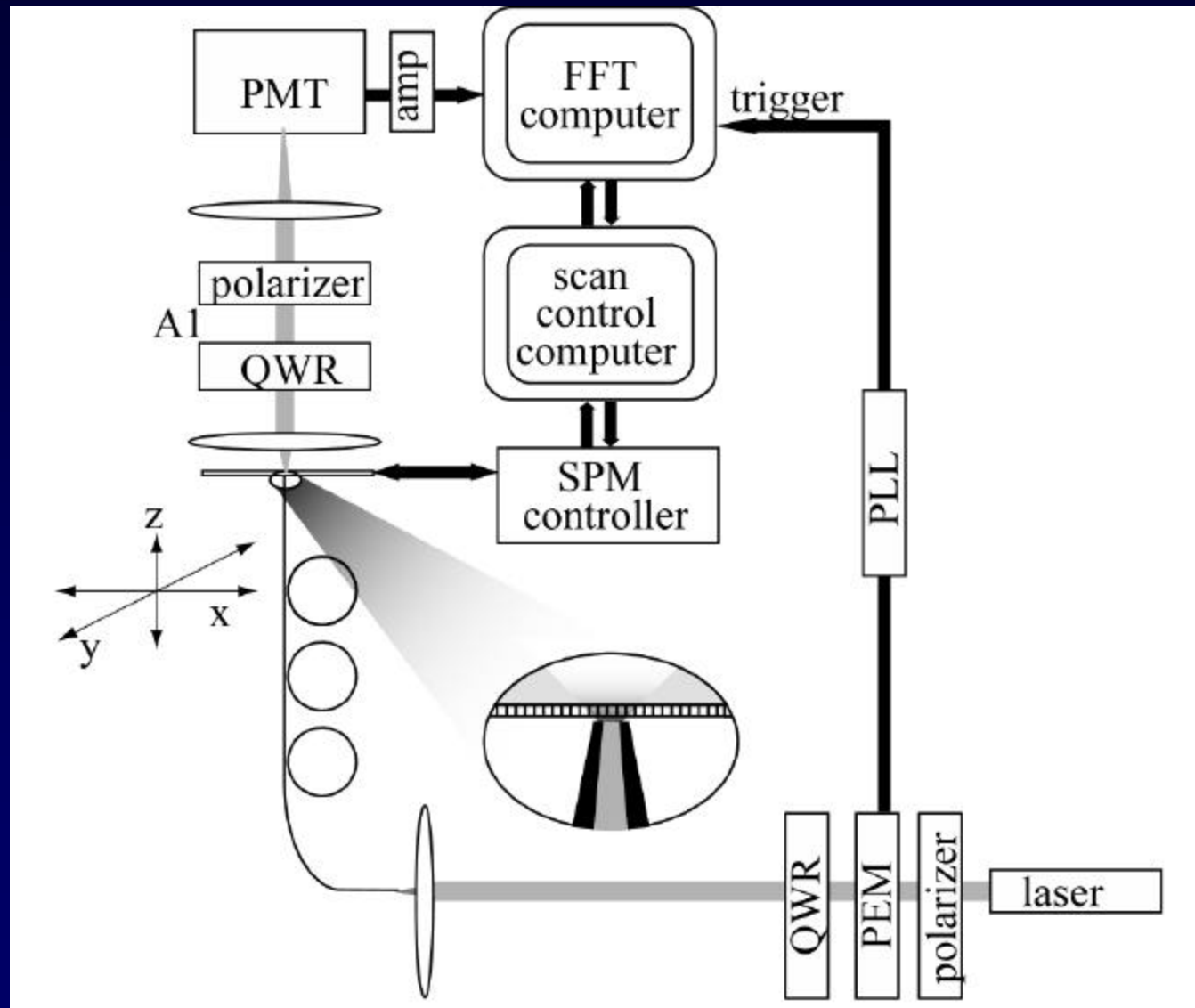
R( $\phi$ ), Rotation through an angle  $\phi$  from the x axis

$$\begin{pmatrix} \cos(f) & -\sin(f) \\ \sin(f) & \cos(f) \end{pmatrix}$$

# NSOM polarimeter

NIST

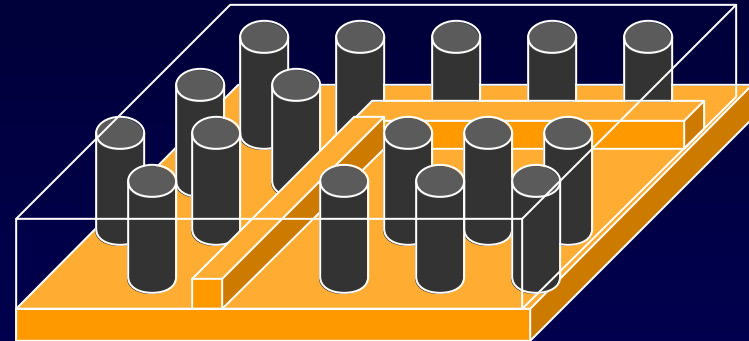
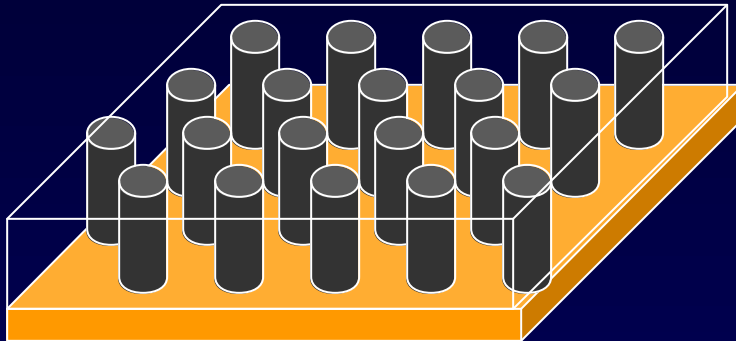
(For a similar system, see McDaniel et al *Applied Optics* **37**(1) p.84 1998)





# Photonic BC Thin Film Devices

- Thin BC films may exhibit in-plane 2D photonic band structure.

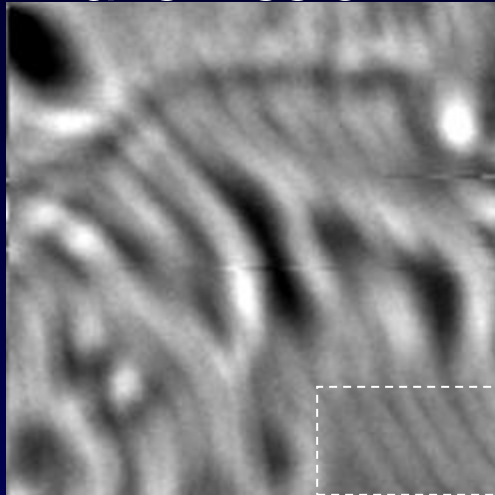


- Natural BC thin film morphology might be modified via patterned substrates, lithography or other means.
  - Controlled introduction of defects → e.g. wave guides/channels.
  - Modification/expansion of naturally occurring patterns → new photonic structures.
- Engineering of optical structure for device applications.

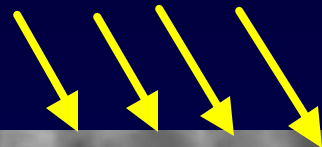
# Domain identification

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Transmission



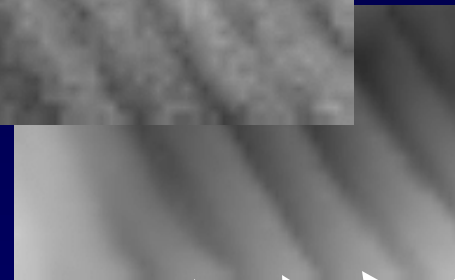
**Polyisoprene Domains**



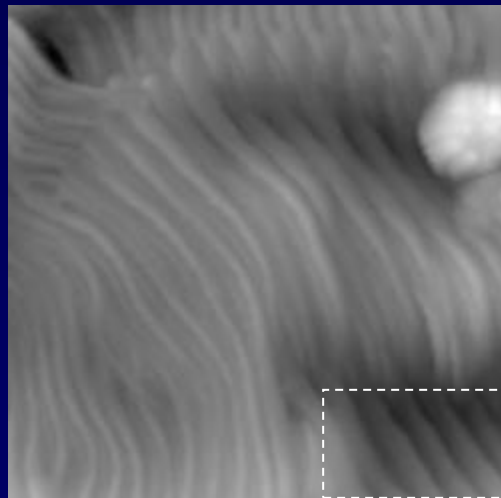
OsO<sub>4</sub> staining of PI:  
absorption



contraction



**Polystyrene Domains**



Topography

*Scale bar: 1mm*